

FORM PTO-1449 (Modified [6-1])

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT(S)' INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.
22645-7201SERIAL NO.
New Application

INVENTOR: James M. Kates

FILING DATE: Herewith

GROUP ART UNIT:
Unknown1c971 U.S. PTO
10/087p90

03/01/02

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	NAME	Class	Subclass	Filing Date If Appropriate
SN	A1	4,689,818	08/25/87	Ammitzboll	381	68	04/28/83
	A2	4,731,850	03/15/88	Levitt, et al.	381	68.2	06/26/86
	A3	5,016,280	05/14/91	Engbretson, et al.	381	68	03/23/88
	A4	5,019,952	05/28/91	Smolenski, et al.	363	16	11/20/89
	A5	5,091,952	02/25/92	Williamson, et al.	381	68.2	11/10/88
	A6	5,259,033	11/02/93	Goodings, et al.	381	68	07/09/92
	A7	5,402,496	03/28/95	Soli, et al.	381	94	07/13/92
	A8	5,940,519	08/17/99	Kuo	381	71.11	12/17/97
	A9	6,072,884	06/06/00	Kates	381	318	11/18/97
	A10	6,104,822	08/15/00	Melanson, et al.	381	320	08/06/97
SN	A11	6,236,731	05/22/01	Brennan, et al.	381	316	04/16/98

FOREIGN PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	Subclass	TRANSLAT'N
							yes no
	B1						
	B2						

OTHER ART (Include Author, Title, Date, Pertinent Pages, etc.)

SN	C1	A. Harma, et al. "Frequency-Warped Signal Processing for Audio Applications", J. Audio Eng. Soc., Vol. 48, No. 11, November, 2000, pp. 1011-1031
	C2	
	C3	
	C4	
	C5	
	C6	

OTHER ART (Include Author, Title, Date, Pertinent Pages, etc.)

	C7	
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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant(s).

ATTY. DOCKET NO.
22645-7201

SERIAL NO. 10/087,090

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT(S)' INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

INVENTOR: James M. Kates

FILING DATE: 03/01/02

GROUP ART UNIT: 2643

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	NAME	Class	Subclass	Filing Date If Appropriate
SN	A1	5,771,299	06/23/98	Melanson	381	68.2	06/20/96
	A2	5,448,010	09/05/95	Smith, III	84	622	03/22/93
	A3						

FOREIGN PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	Subclass	TRANSLAT'N	
							yes	no
	B1							
	B2							
	B3							
	B4							
	B5							

OTHER ART (Include Author, Title, Date, Pertinent Pages, etc.)

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EXAMINER

DATE CONSIDERED

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